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COMMONWEALTH OF PENNSYLVANIA
OFFICE OF THE GOVERNOR
HARRISBURG

THE GOVERNOR

MEMBER OF THE BOARD OF TRUSTEES OF THE PENNSYLVANIA STATE
UNIVERSITY

February 28, 2013

To the Honorable, the Senate
of the Commonwealth of Pennsylvania:

In conformity with law, I have the honor hereby to nominate for the advice and consent of the Senate, Kathleen L. Casey, 4652 B South 36th Street, Arlington, Virginia 22206, for appointment as a member of the Board of Trustees of the Pennsylvania State University, to serve until July 1, 2015, and until her successor is appointed and qualified, vice The Honorable Michael F. DiBerardinis, Philadelphia, resigned.

A handwritten signature in black ink that reads "Tom Corbett".

TOM CORBETT
Governor

STATEMENT OF FINANCIAL INTERESTS

PLEASE PRINT NEATLY

01 LAST NAME FIRST NAME MI SUFFIX
C a s e y K a t h l e e n L M s

02 ADDRESS (work or home) City State Zip Code Area Code Phone
4652B South 36th Street Arlington VA 22206 571 216-5871

NOTE: IF YOU ARE INCLUDING ATTACHMENTS, DO NOT INCLUDE ANYTHING THAT BEARS YOUR SOCIAL SECURITY NUMBER OR FINANCIAL ACCOUNT NUMBERS.

03 STATUS Check applicable block or blocks, more than one block may be marked. (See instructions on page 2)

A ☐ Candidate (including write-in) C ☐ Public Official (Current) D ☐ Public Employee (Current) E ☐ Check this block if you are filing as a solicitor

B ☒ Nominee C ☐ Public Official (Former) D ☐ Public Employee (Former)

☐ Check this block if you are amending an original filing

04 PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.) ☒ seeking ☐ hold ☐ held

A M e m b e r ☐ seeking ☐ hold ☐ held

B

05 GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission, county, school district, twp, etc.)

A P e n n S t a t e B o a r d o f T r u s t e e s

B

06 OCCUPATION OR PROFESSION (This may be the same as block 4) 07 YEAR The information in blocks 8 through 15 below represents financial interests for the PRIOR calendar year indicated:

Consultant 2 0 1 2

08 REAL ESTATE INTERESTS (See instructions on page 2) If NONE, check this box. ☒

09 CREDITORS (See instructions on page 2). Creditor (Name and Address) If NONE, check this box. ☐

Name: US Senate Federal Credit Union Visa Address: PO Box 77920, Washington, DC 20013-8820 Interest Rate: 9.25%

USAA Master Card Address: 10750 McDermott Freeway, San Antonio, TX 78288 Interest Rate: 9.9%

10 DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on pg. 2) ONLY IF NONE, check this block. ☐ (OFFICIAL USE ONLY)

Name: Strategas Securities, LLC (speaking fee) Address: 52 Vanderbilt Ave, 8th Floor, New York 10017

KLC Consulting Group, LLC Address: 4652B South 36th Street, Arlington VA 22206

11 GIFTS (See instructions on page 2) If NONE, check this box. ☒

Source of Gift Value of Gift

Address of Source of Gift Circumstances (including description) of Gift

12 TRANSPORTATION, LODGING, HOSPITALITY (See instructions on page 2) If NONE, check this box. ☒

Source (Name and Address) Value

13 OFFICE, DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS (See instructions on page 2) If NONE, check this box. ☐

Business Entity (Name and Address) Position Held

Name: Library of Congress Trust Fund Board Address: 100 Independence Ave, SE Washington, DC 20540 Member

14 FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT (See instructions on page 2) If NONE, check this box. ☐

Name and Address of Business Interest Held

KLC Consulting Group, LLC 4652B South 36th Street, Arlington, VA 22206 100%

15 BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) If NONE, check this box. ☒

Business (Name and Address) Interest Held Relationship Date Transferred

Transferee (Name and Address)

The undersigned hereby affirms that the foregoing information is true and correct to the best of said person's knowledge, information and belief; said affirmation being made subject to the penalties prescribed by 18 Pa.C.S. §4904 (unsworn falsification to authorities) and the Public Official and Employee Ethics Act, 65 Pa.C.S. §1409(b).

Signature

Enter Current Date

THIS FORM IS CONSIDERED DEFICIENT IF ANY BLOCK ABOVE IS NOT COMPLETED. MAKE A COPY FOR YOUR RECORDS.

KCC

Kathleen Casey

Question 10. Direct or Indirect Sources of Income (cont'd)

Georgetown University

Center for Financial Markets and Policy

McDonough School of Business

529 Rafik B. Hariri Building

37 & O Streets, NW

Washington, DC 20057

Patomak Global Partners

1737 H Street, NW

Washington, DC 20006

FX Alliance, Inc.

909 Third Avenue, 10th Floor

New York, NY 10022

George Mason University

School of Law

3301 Fairfax Drive

Arlington, VA 22201

Alternative Investment Management Association

167 Fleet Street, 2nd Floor

London, EC4A 2EA

STATE ETHICS
COMMISSION

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Kathleen Casey

Question 13. Office, Directorship or Employment in any Business (cont'd)

KLC Consulting Group, LLC

4652B South 36th Street

Arlington, VA 22206

Sole owner/single member LLC

Georgetown University

Center for Financial Markets and Policy

McDonough School of Business

529 Rafik B. Hariri Building

37 & O Streets, NW

Washington, DC 20057

Distinguished Policy Fellow

Patomak Global Partners

1737 H Street, NW #400

Washington, DC 20006

Senior Advisor

FX Alliance, Inc.

909 Third Avenue, 10th Floor

New York, NY 10022

Independent Director

George Mason University

School of Law

3301 Fairfax Drive

Arlington, VA 22201

Adjunct Professor

Alternative Investment Management Association

167 Fleet Street, 2nd Floor

London, EC4A 2EA

Non-Executive Chairman

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